

11-21-01

CERTIFICATE OF EXPRESS MAIL
FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, or as Express Mail if the number of the Express Mail mailing label is provided below, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on

NOV 19 2001

EL 842185982

(Date of Deposit)

Express Mail Label Number

Signature

The Firm of Karl F. Ross, P.C.

Attorney's docket 22081

Box Patent Application
Commissioner of Patents and Trademarks
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

PATENT

Transmitted herewith for filing is the patent application of inventor:

	First Name	Last Name	Residence	Citizenship
1.	Bert E.	HOLLAND	Ringwood, New Jersey	USA

For (title):

BRIEFCASE OR CARRYING CASE WITH INTEGRATED LOUDSPEAKER SYSTEM

1. Type of Application

- ☒ Utility
- ☐ Design
- ☐ Plant
- ☐ Original
- ☐ Divisional
- ☐ Continuation
- ☐ Continuation-in-part

2. Benefit of Prior US Application(s) (35 USC 120) or foreign priority (35 USC 119)

- ☐ The new application being transmitted claims the benefit of a prior US application.
- ☒ Foreign priority claimed (see ¶9)

3. Papers Enclosed Required For Filing Date Under 37 CFR 1.53(b) (Uti.) or 37 CFR 1.153 (Des.)

- ☒ Pages of specification (6)
- ☒ Pages of claims (4)
- ☒ Pages of Abstract (1)
- ☒ Sheets of Drawing (5)
 - ☒ Formal
 - ☐ Informal

J1050 U.S. PTO
09/997495
11/19/01

11/19/01
J1048 U.S. PTO

09997495:11.1901

4. Additional papers enclosed

- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement
- ☐ PTO-1449
- ☐ Citations (none)
- ☐ Declaration of Biological Deposit
- ☐ Submission of "Sequence Listing," computer-readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino-acid sequence
- ☐ Authorization of Attorney(s) to accept and follow instructions from Representative
- ☒ Other - PTO Data Entry Form
- ☐ Other

5. Declaration or Oath

- ☒ Enclosed
 - original executed by
 - ☒ Inventor(s)
 - ☐ legal representative of inventor(s). 37 CFR 1.42 or 1.43
 - ☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or could not be reached
 - ☐ this is the petition required by 37 CFR 1.47 and the statement required by 37 CFR 1.47 is also attached
- ☐ Not Enclosed
 - ☐ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all the above-named inventor(s).
 - ☐ Showing that the filing is authorized.

6. Inventorship Statement

The inventorship for all the claims in this application is:

- ☒ The same
- ☐ Not the same. An explanation, including the ownership of the various claims at the time the last claimed invention was made
 - ☐ is submitted.
 - ☐ will be submitted.

7. Language

- ☒ English
- ☐ non-English
- ☐ the attached translation is a verified translation. 37 CFR 1.52(d).

8. Assignment

- ☒ This application is not currently assigned.

0004542660

9. Certified Copy

Certified copy of application

Country

Germany

Number

20019525.5

Date

17 November 2000

from which priority is claimed

☐ is(are) attached.☒ will follow.**10. Fee calculation**☒ **A. Utility application****CLAIMS AS FILED**

	No. Filed	Base No.	No. Extra	Rate	Basic fee
Total claims	22	20	2	\$18.00	\$740.00
Independent claims	1	3	0	\$84.00	\$36.00
Mult. dep. claims	No	n/a	n/a	\$280.00	\$0.00

- ☐ Amendment canceling extra claims enclosed.
☐ Amendment canceling multiply dependent claims enclosed.
☐ Fee for extra claims is not being paid at this time.

Filing Fee Calculation

\$776.00

- ☐ **B. Design application**
 (\$.00-37 CFR 1.16(f))

Filing fee calculation

\$00.00

- ☐ **C. Plant application**
 (\$490.00-37 CFR 1.16(g))

Filing fee calculation

\$00.00**11. Entity Status**Applicant herewith qualifies as a **SMALL** entity.

Filing fee calculation (50% of A, B, or C above)

\$388.00**12. Request for International-Type Search (37 CFR 1.104(d))**

- ☐ Please prepare an international-type search report for this application at the time when national examination on the merits takes place.

13. Fee Payment

- ☐ Not enclosed.
☐ No filing fee is to be paid at this time.
- ☒ Enclosed.
- | | |
|---|-----------------|
| <input checked="" type="checkbox"/> basic filing fee | \$388.00 |
| <input type="checkbox"/> petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or could not be reached | \$0.00 |
| <input type="checkbox"/> for processing an application with a specification in a non-English language | \$0.00 |
| <input type="checkbox"/> processing and retention fee | \$0.00 |
| <input type="checkbox"/> fee for international-type search report | \$0.00 |
| Total fees enclosed | \$388.00 |

14. Method of Payment of Fees

- ☐ Check in the amount of \$388.00
- ☐ Charge Account 18-2025 for \$388.00. A duplicate of this form is attached.
- ☒ Charge to credit card (PTO-2038 attached.)

15. Authorization to Charge Additional Fees

- ☒ The Commissioner is hereby authorized to charge the following additional fees by this paper and during the entire pendency of this application to account 18-2025.
- ☒ 37 CFR 1.16(a), (f), or (g) (filing fees)
 - ☒ 37 CFR 1.16(b), (c), or (d) (extra claims)
 - ☐ 37 CFR 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)
 - ☒ 37 CFR 1.17 (application processing fees)
 - ☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of Allowance pursuant to 37 CFR 1.31(b))

09697495-1190
 PTO-TT-5642660

16. Instructions As To Overpayment

- ☐ credit account 18-2025
☒ refund

19 November 2001

The Firm of Karl F. Ross P.C.



Herbert Dubno, reg. no. 19,752

Customer Number 535
5676 Riverdale Avenue Box 900
Bronx, NY 10471-0900
Tel: (718) 884-6600
Fax: (718) 601-1099
je

FOR FIRM 5676 RIVERDALE



STATEMENT UNDER 37 CFR 1.6(e)(2)

Receipt date
granted is
11/19/01.
G. Chong
from
OPLA

residing at 55 Knolls Crescent, Bronx, NY 10463 declares, pursuant to 37 CFR 1.6(e)(2) and subject to the penalties under 18 USC 1001 and with the knowledge that willful false statements and the like so made are punishable in accordance with that statute and may jeopardize the validity any document contained in the attached package and any patent application or trademark application or patent or trademark in which any paper contained in the attached patent relates to:

THAT an interruption or emergency in the U.S. Postal Service has been declared relating to the September 11, 2001 attack on the United States of America and a subsequent ANTHRAX emergency;

THAT each of the papers in the attached package were placed therein by her with appropriate statements and declarations as to express mailing of said package;

THAT said package was placed properly in the express mail as of 19 November 2001 and would have received that date; and

THAT this package is being submitted promptly after refusal of the express mail package by the Patent and Trademark Office and that the correspondence in said package would have received the particular date of November 19, 2001 if it were not for the designated interruption or emergency in the U.S. Postal Service which, *inter alia*, resulted in the refusal and return of said package to the office of The Firm of Karl F. Ross, located at 5676 Riverdale Avenue, Bronx(Riverdale), NY 10471.

DATED: November 20, 2001 SIGNED:

Helene Bernstein

THE FIRM OF
KARL F. ROSS, P.C.
5676 RIVERDALE AVE. P.O.B. 900
BRONX, N.Y. 10471-0900



11/19/01

J1048 U.S. PTO



J1050 U.S. PTO

09/997495

11/19/01

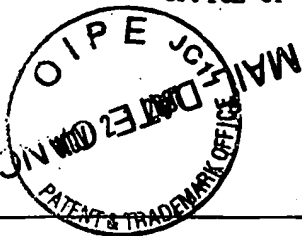
11/16/01 4:31 FAX 2022684843

OFFICE OF COO

NY METRO AREA

001/001

PATRICK R. DONAHOE
CHIEF OPERATING OFFICER
AND EXECUTIVE VICE PRESIDENT



November 16, 2001

VICE PRESIDENTS, AREA OPERATIONS
MANAGER, CAPITAL METRO OPERATIONS

SUBJECT: Temporary Suspension of Express Mail Service
Washington, D.C. ZIP Codes 202 through 205

Due to current operating conditions and government security concerns, mail destined for federal government agencies in Washington, D.C., ZIP Codes 202 through 205, is temporarily suspended. Therefore, effective immediately, Express Mail service is to be discontinued at all retail locations, including Contract Postal Units (CPUs). Customers should be advised either to use First-Class Mail for mail destined for these ZIP Codes or wait to send items until this temporary suspension is lifted.

Business customers will be advised of this suspension through the Business Service Network. You will be advised when this suspension is lifted. If you have questions or need additional information, please contact Henry Pankey at (202) 268-5394.

Patrick R. Donahoe

cc: Ms. Bizzotto

TO Station Manager
10471
Expressmail being
returned. Please
inform customer.
Please see Attached
memo concerning
change in service
to this Area

SPECIAL DELIVERY SECTION
GENERAL POST OFFICE
558 GRAND CONCOURSE
BRONX, NY 10451-9997